

CONTINUING the
CONVERSATION
2017 WIOA National Convenings



The Governance Puzzle!

Piecing together our roles and responsibilities

Presented by the U.S. Department of Labor, Employment and Training Administration

Today's Objectives

To put together the pieces of the governance puzzle, each of us will explore the following:

- Effective governance, generally
- Key components of governance under WIOA
- Key stakeholders' roles and responsibilities
- Strategies to make it real

"I woke up one morning thinking about wolves and realized that wolf packs function as families. Everyone has a role, and if you act within the parameters of your role, the whole pack succeeds, and when that falls apart, so does the pack."

— **Jodi Picoult, Author**

Today's Agenda

1. Review of Governance Concepts and Regulations
2. Discussion with Practitioners
3. Group Activity

What is Governance?

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Governance Under WIOA & Importance of Role Definition

Roles

WIOA governance roles covered in today's presentation:

- Governor
- State Workforce Development Board
- State Agencies
- Chief Elected Officials
- Local Workforce Development Board
- Local Fiscal Agent
- One-stop Operator

The Regulations

Governance can be found throughout DOL and Joint Regulations. This session will cover a sample of key governance elements.

DOL Regulations

- Part 652—Establishment and Functioning of State Employment Service
- Part 679—Statewide and Local Governance of the Workforce Development System
- Part 683—Administrative Provisions Under Title I

Joint Regulations

- Part 676—Unified and Combined State Plans
- Part 677—Performance Accountability
- Part 678—One-Stop Operations

The Governor

The Governor must retain certain responsibilities and may delegate others. Some of the Governor's responsibilities include:

- State Agency Designation
- State Workforce Development Board (SWDB) Appointments
- Criteria for Local Workforce Development Board (LWDB) Member Appointments
- Policy and Guidance (ie. Training Provider Eligibility, One-stop Infrastructure Funding)
- Designation of Regions and Local Areas
- Certify LWDB Every 2 Years

State Workforce Development Board (SWDB)

State WDB must assist the Governor with:

- 4-year State Plan
- Convene System Partners
- Align Workforce Programs
- Continuously Improve the System
- State Performance Measures
- Best Practices
- Statewide Policies (ie. One-stop Certification Criteria)
- Improve Quality of Services and Activities
- Aligning Technology and Data Systems Across One-stop Programs
- Distribution of Funds
- Annual Reports

State Agencies (Core Partners)

- Activities Designated by Governor
- State WDB Member
- Administer Designated Programs
- Memorandum of Understanding (with CEOs and LWDB)

Chief Elected Officials (CEOs)

- Consult with Governor, SWDB, and LWDB
- May Be Appointed to State WDB
- Appoint LWDB Members
- Formal Nomination and Appointment Process for LWDB Members
- CEO Agreement Outlining Roles and Responsibilities (only if a Local Area includes one or more unit of general local government)
- Local Grant Recipient
- Designate a Local Fiscal Agent and/or Entity to Disburse Grant Funds
- Establish and Maintain One-stop System

Local Workforce Development Boards (LWDBs)

- 4-year Local Plan
- Regional Plan (with other LWDBs if part of a planning region)
- Workforce Research and Regional Labor Market Analysis
- Convene Local Stakeholders and Lead Employer Engagement
- Career Pathways
- Oversight of One-stop System in Local Area
- Develop Local WDB Budget
- Select Providers and One-stop Operator
- One-stop Centers and One-stop Delivery System Certification Every 3 years (Review and Update Criteria Every 2 Years)
- Annual Assessment of One-stop Center Accessibility

Local Fiscal Agent

If the CEOs Designate a Fiscal Agent, Potential Roles Include:

- Receive Funds
- Fiscal Integrity and Accountability
- Audit Financial Findings Response
- Accounting Records and Documentation
- Financial Reports
- Fiscal Technical Assistance
- Procure Contracts
- Conduct Financial Monitoring
- Independent Audit

*CEOs remain liable for grant funds.

One-Stop Operator

Must:

- Service delivery coordination of required partners and service providers

May:

- Provide services

May Not:

- Convene system stakeholders to assist in the development of the local plan
- Be responsible for oversight of itself
- Manage or significantly participate in the competitive selection process for one-stop operators
- Select or terminate one-stop operators, career services, and youth providers
- Negotiate local performance accountability measures
- Develop and submit budget for activities of the LWDB in the local area

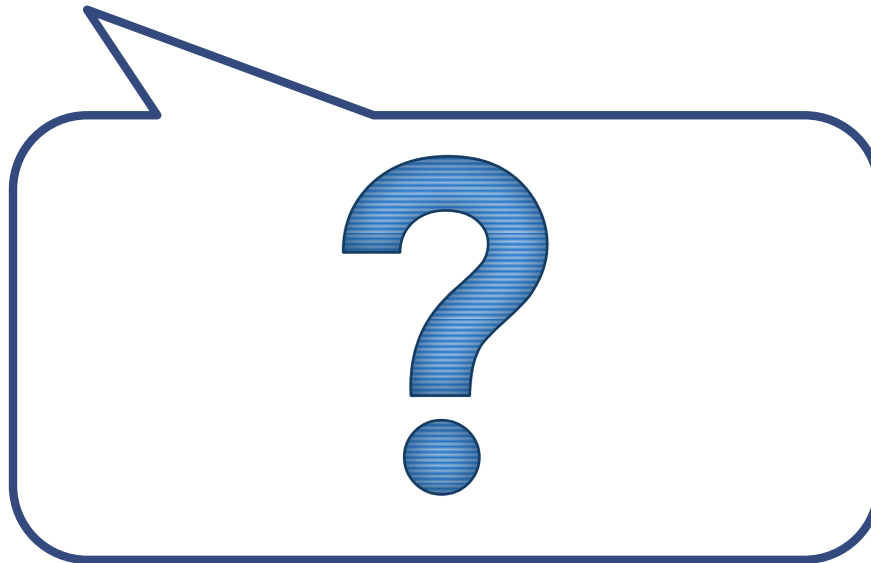
One Organization Multiple Functions

- Firewalls
- Internal Controls
- Conflict of Interest
- Written Agreement with LWDB and CEO to clarify how the organization will carry out its responsibilities while demonstrating compliance with:
 - WIOA and corresponding regulations
 - Office of Management and Budget circulars
 - State's conflict of interest policy

Small Group Exercise



Any Questions?



Resources

- WIOA Implementation Training Resources – State and Local Governance
<https://ion.workforcegps.org/FocusAreas>
- New Strategic Boards Tool Kit Materials
https://ion.workforcegps.org/resources/2016/06/27/11/23/Strategic_Boards_Materials
- Competitions for Adult Education Service Providers: Local Board/State Roles
https://www.workforcegps.org/events/2016/09/15/11/41/WIOA_Wednesday-Competitions_for_Adult_Education_Service_Providers-Local_Board-State_Roles
- Local Workforce Development Boards Under WIOA: What You Need to Know
https://ion.workforcegps.org/resources/2016/10/14/09/28/Local_Board_Functions

Contact Information

For questions regarding the information in presentation, send an email to*:

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“The Governance Puzzle: Piecing Together our Roles and Responsibilities”